



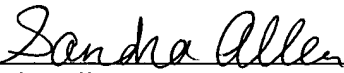
PATENT  
Attorney Docket No. INK-110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Amundson CONFIRMATION NO.: 7924  
SERIAL NO.: 10/607,703 GROUP NO.: 2871  
FILING DATE: June 27, 2003 EXAMINER: Not Yet Assigned  
TITLE: ILLUMINATION SYSTEM FOR NONEMISSIVE ELECTRONIC  
DISPLAYS

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 13th day of April, 2004.

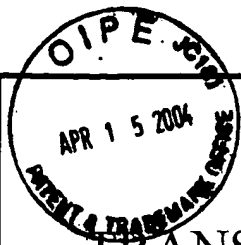
  
Sandra Allen

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 pg);
2. Associate Power of Attorney (1 pg);
3. Information Disclosure Statement (2 pgs);
4. Form PTO-1449 (3 pgs);
5. Copies of cited references labelled as A1-A6, B1-B4, and C1-C4; and a
6. Return Receipt Postcard.



# TRANSMITTAL FORM

Application Serial Number	10/607,703
Filing Date	June 27, 2003
First Named Inventor	Amundson
Group Art Unit	2871
Examiner Name	Not Yet Assigned
Attorney Docket No.	INK-110
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form  <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]  <input type="checkbox"/> Petition for Extension of Time  <input checked="" type="checkbox"/> Information Disclosure Statement (2 pgs) <input checked="" type="checkbox"/> Form PTO-1449 (3 pgs) <input checked="" type="checkbox"/> Copies of IDS Citations (A1-A6, B1-B4, and C1-C4)  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application  <input type="checkbox"/> Formal Drawing(s)  <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program  <input type="checkbox"/> Amendment After Allowance  <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences  <input type="checkbox"/> Appeal Brief (in triplicate)  <input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 (1 pg)  <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)  <input checked="" type="checkbox"/> Associate Power of Attorney (1 pg)
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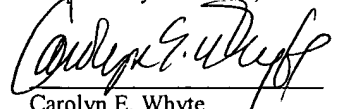
## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Date: April 13, 2004  
Reg. No. 55,728  
Tel. No.: (617) 310-8078  
Fax No.: (617) 248-7100

Respectfully submitted,

  
Carolyn E. Whyte  
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125 High Street  
Boston, MA 02110



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Attorney Docket No. INK-110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Amundson CONFIRMATION NO.: 7924  
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DISPLAYS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications labeled as A1-A6, B1-B4, and C1-C4 are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

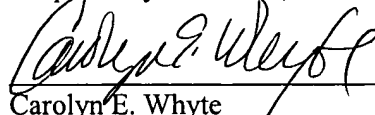
- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: April 13, 2004  
Reg. No. 55,728

Tel. No.: (617) 310-8078  
Fax No.: (617) 248-7100

3035177

Respectfully submitted,

  
\_\_\_\_\_  
Carolyn E. Whyte  
Attorney for Applicant  
Testa, Hurwitz, & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

FORM PTO - 1449

INFORMATION DISCLOSURE  
STATEMENT

APR 15 2004

ATTY DOCKET NO: INK-110 (2108 / 83)

APPLICANT: Amundson

SERIAL NO.: 10/607,703

FILING DATE: June 27, 2003

GROUP:

## U.S. PATENT DOCUMENTS

Exam. Init.		Patent ID	Issue Date	Inventor	Filing Date
	A1	5,067,021	11/19/91	Brody, Thomas P.	7/21/88
	A2	5,194,852	3/16/93	More et al.	6/16/89
	A3	5,930,026	7/27/99	Jacobson et al.	10/25/96
	A4	5,975,680	11/2/99	Wen et al.	2/5/98
	A5	6,130,773	10/10/00	Jacobson et al.	11/10/98
	A6	6,376,828 B1	4/23/02	Comiskey; Barrett	10/7/99

EXAMINER

DATE CONSIDERED

FORM PTO - 1449

INFORMATION DISCLOSURE  
STATEMENT

ATTY DOCKET NO: INK-110 (2108 / 83)

APPLICANT: Amundson

SERIAL NO.: 10/607,703

FILING DATE: June 27, 2003

GROUP:

## FOREIGN PATENT DOCUMENTS

Exam. Init.		Patent ID	Issue Date	Country Code	Filing Date	Abstract Only?	English Language?	Only Abstract In
	B1	WO 00/20923	4/13/00	WO	10/7/99	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B2	WO 98/19208	5/7/98	WO	10/17/97	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B3	WO 99/41732	8/19/99	WO	2/17/99	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B4	WO 99/41788	8/19/99	WO	2/17/99	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

EXAMINER

DATE CONSIDERED

FORM PTO - 1449

APR 15 2004

ATTY DOCKET NO: INK-110 (2108 / 83)

INFORMATION DISCLOSURE  
STATEMENT

APPLICANT: Amundson

SERIAL NO.: 10/607,703

FILING DATE: June 27, 2003 GROUP:

## OTHER ART, JOURNAL ARTICLES, ETC.

Exam. Init.

## OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

C1	Comiskey et al.; 7.4L: Late-News Paper: Electrophoretic Ink: A Printable Display Material; 1/1/97; 75-76; SID 97 Digest
C2	Drzaic et al; A Printed and Rollable Bistable Electronic Display; 1/1/98; 1131-34; 1998 SID International Symposium, Digest of Technical Papers; 29
C3	Saitoh et al.; A Newly Developed Electrical Twisting Ball Display; 1/1/82; 249-53; Proceedings of the SID; 23(4)
C4	Shiwa et al.; 5.6: Electrophoretic Display Method Using Ionographic Technology; 1/1/88; 61-62; SID 88 Digest

EXAMINER

DATE CONSIDERED

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